

## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329 (916) 322-5660 • Fax (916) 322-0886

July 22, 2009

Mr. Rick Anderson Casa Tropicana 610 Avenida Victoria San Clemente, CA 92672

Re: Warning Letter

FPPC No. 090098; Rick Anderson

Dear Mr. Anderson:

The Fair Political Practices Commission (the "Commission") enforces the provisions of the Political Reform Act (the "Act") found in Government Code Section 81000, and following. The Act requires that employees designated in their employer's conflict of interest code file Statements of Economic Interests (Form 700) when they assume office, annually thereafter and within 30 days of leaving their position.

The Commission's Enforcement Division received a non-filer referral from the Commission's Technical Assistance Division on February 19, 2009. On June 8, 2009, the Enforcement Division was notified that you had subsequently filed your 2007 Annual Statement of Economic Interests ("SEI") prior to when the non-filer referral was made.

Instead of requiring payment of penalties as indicated in our letters dated March 11, 2009, and April 8, 2009, alleging that you failed to timely file your 2007 Annual Statement of Economic Interests with the California Travel and Tourism Commission by the April 1, 2008 deadline, regarding your position as a commissioner, we have decided to close this case. Your failure to file the required statement in a timely manner is a violation of the Act. (Section 87300.) While we are not pursuing an administrative penalty in this matter, this letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on future conduct or newly discovered information.

Failure to comply with the provisions of the Act in the future may result in an enforcement action against you, including monetary penalties of up to \$5,000 for each violation.

Mr. Rick Anderson FPPC No. 090098 Page 2

Although the Commission's Enforcement Division is not seeking a penalty in the current matter, you are still responsible for any late filing fees assessed by your filing officer. (Section 91013.)

If you disagree with our determination that you violated the Act, please contact Teri Rindahl in writing postmarked within 14 days of the date of this letter.

The Commission publishes forms and manuals to facilitate compliance with the provisions of the Act. If you need forms or a manual, or guidance regarding your obligations, please call the Commission's Technical Assistance Division at 1-866-275-3772. Please also visit our website at <a href="https://www.fppc.ca.gov">www.fppc.ca.gov</a>.

If you have questions regarding this matter, please contact Teri Rindahl at (916) 327-2018.

Sincerely,

Gary S. Winuk

Chief, Enforcement Division

GSW/tr